# Power and policy-making process in a health system

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# Outline

- Public policy
- Policy within the Health system
- Policy process
- Power and the policy process
- Power, contestations and politics: case studies
- Take home messages



# **Public Policy**

 Thomas Dye (1987) famously said – "Public policy is whatever a government chooses to do or even not to do".

authorised by the state (parliament, courts, government officials)
 and intended to create public value



## Health System Policy

- Broad statement of goals, objectives and means that create the framework for activity. Often takes the form of explicit written documents but may also be implicit or unwritten
  - service delivery; health workforce; health system financing; medical products, vaccines and technologies; health information systems; and leadership and governance.



## Health System Policy

- Policy as intent
  - the vision, goals, understandings, principles, and plans that seek to e.g., guide activities, establish accountability & responsibility
- Policy as practice
  - routine decisions, activities, understandings & actual achievements



## Health System Policy

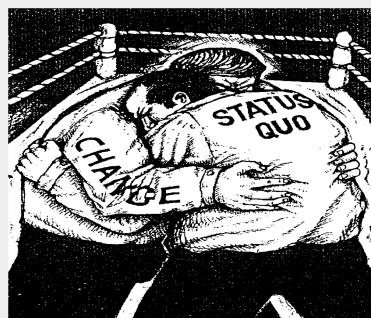
• Policies are <u>presented</u> in:

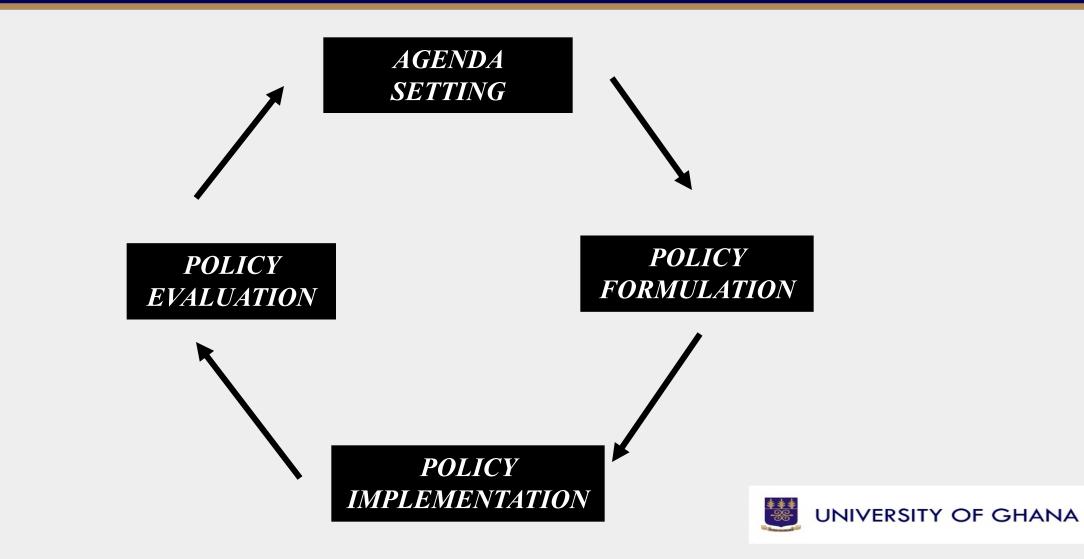
- documents, regulations, laws, ministerial statements etc.

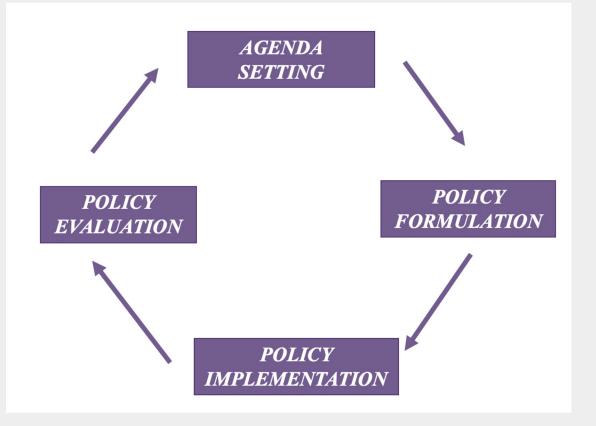
- Policies are <u>constructed</u> and <u>exist</u> in:
  - what happens in practice
  - <u>and</u> in the expectations, principles, understandings that shape practice



- Policy Process
  - The many and complex sets of decisions, actions, and inter-action entailed in developing policy and putting policy into effect
  - always involve:
    - negotiation, contestation, resistance





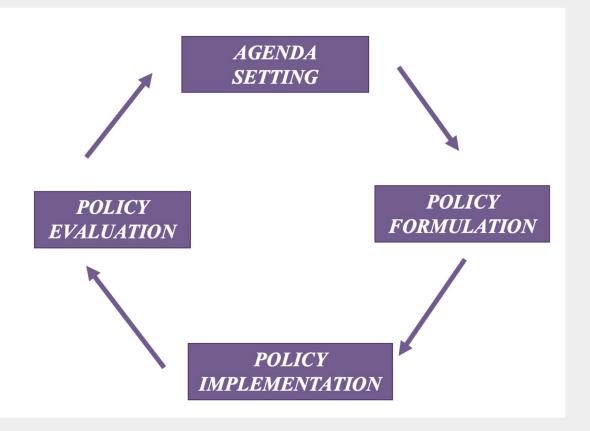


#### Agenda setting

Identification of problems or recognition of issues and setting priorities for what needs to be addressed.

What does, and does not, get onto the policy-making agenda determines what policies are formulated and is influenced by policy actors' interests and concerns.

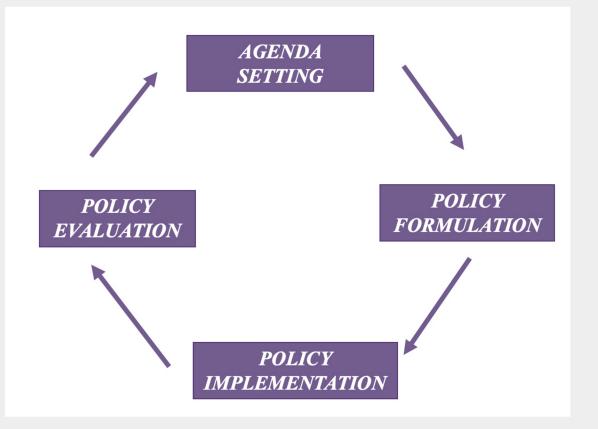




#### **Policy formulation**

Actors with formal policy authority making decisions about the details of policy content, using various decision-making strategies, and perhaps involving other policy actors.

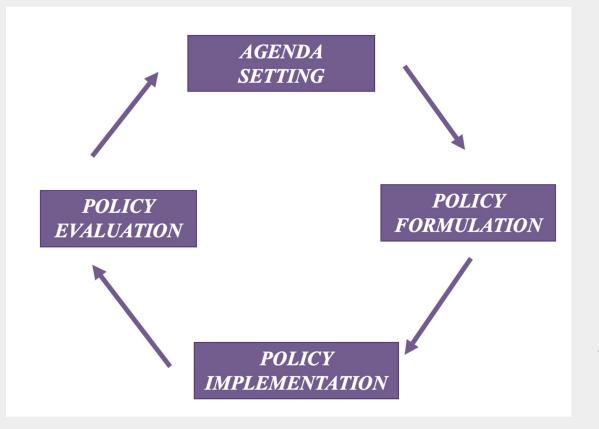




#### **Policy implementation**

Implementation of the policy content through various strategies and by various structures and people, including the adaptation or non-implementation of the policy by these policy actors.





#### **Policy evaluation**

Assessing the success of the policy, either during its development and implementation (sometimes with a view to influencing this process), or after these periods, as a basis for further policy action.



# Power and the policy process

- To make policy, actors (governments, institutions, individuals, groups) need power
- They need *authority to act* (make policy decisions) and they need the *capacity to act* (carry out policy decisions)
- **Capacity** to affect the development and implementation of the policy process directly or indirectly



## Power and the policy process

- Problem definition is a supreme instrument of power since there is no one fixed definition and as such policy issues are subject to the interpretative manoeuvres and discursive strategies of policy actors who influence the process.
  - Problem definition often focuses on explaining how a condition comes about and what strategies might be used as a solution
- A policy issue therefore can be defined in more than one way and hence policy actors play a key role in interpreting and debating the issues that become the dominant definition.



## Power and the policy process

- To make policy decisions and carry out policy decisions
  - One essentially requires some source of power, coupled with the will to use the power in a politically skillful way that is to convince those to whom one has access. (Mintzberg, 1983)



### Sources of Power

- Mintzberg (1983) proposes five sources of power:
  - The control of:
    - (1) a resource,
    - (2) a technical skill
    - (3) a body of knowledge
  - (4) legal prerogative, that is exclusive rights or privileges to impose choices
  - (5) access to those who can rely on the other four power sources



- To understand policy processes, it is essential to understand
  - (1) the characteristics of policy actors, the powers they hold and how they deal with and control each other and;
    - Policy agenda influencers (agenda director, advisor, advocates, approver), policy formulators, policy implementers. *Koduah et al 2018*
  - (2) the interaction domains/venues through which they are able to do so
    - Bureaucratic and public domains. *Grindle and Thomas 1991*





# **STUDIES**

# **POWER, CONTESTATIONS AND POLITICS: CASE**



Augustina Koduah<sup>1,2\*</sup>, Han van Dijk<sup>2</sup> and Irene Akua Agyepong<sup>3,4</sup>

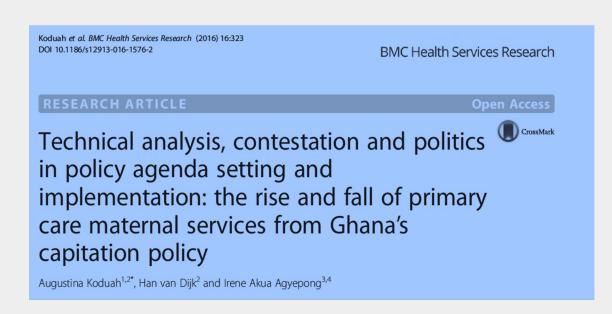




#### Agenda setting and formulation

Technical policy actors within the <u>bureaucratic arena</u> used their <u>expertise and authority</u> for consensus building to get antenatal, normal delivery and postnatal services included in the primary care per capita payment system.

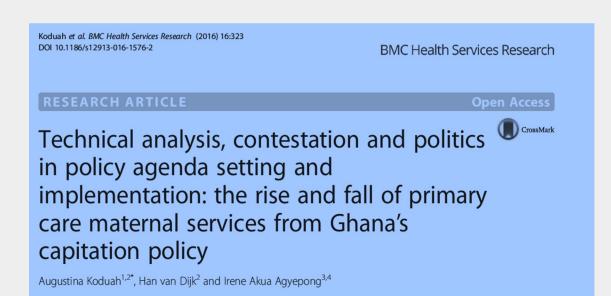




#### Implementation

Service providers used their professional knowledge and skills, access to political and social power and street level bureaucrat power to contest and resist various aspects of the policy and its implementation arrangements





#### Implementation

The context of intense <u>public arena</u> conflicts in an election year added to the <u>high-level political anxiety</u> generated by the contestation. The President and Minister of Health responded and revised the policy

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'*The one with the purse makes policy*': Power, problem definition, framing and maternal health policies and programmes evolution in national level institutionalised policy making processes in Ghana

Augustina Koduah <sup>a, d, \*</sup>, Irene Akua Agyepong <sup>b, c</sup>, Han van Dijk <sup>d</sup>

<sup>a</sup> Ministry of Health, P.O. Box MB 44, Ministries, Accra, Ghana

<sup>b</sup> Julius Global Health, P.O. Box 85500, 3508 GA, Utrecht, The Netherlands

<sup>c</sup> Ghana Health Service, Research and Development Division, Dodowa Health Research Center, Dodowa, Ghana

<sup>d</sup> Wageningen UR (University & Research Centre), Sociology of Development and Change, The Netherlands



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#### Agenda setting and formulation

National level decision makers used their <u>power sources</u> as <u>negotiation</u> <u>tools</u> to frame maternal health issues and design maternal health policies and programmes within the framework of the national health sector programme of work.



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#### Agenda setting and formulation

The power sources identified included legal and structural authority; access to authority by way of political influence; control over and access to resources (mainly financial); access to evidence in the form of health sector performance reviews and demographic health surveys; and knowledge of national plans such as Ghana Poverty Reduction



Health Policy and Planning, 34, 2019, ii104—ii120 doi: 10.1093/heapol/czz107 Supplement Article

OXFORD

Use of evidence and negotiation in the review of national standard treatment guidelines and essential medicines list: experience from Ghana

Augustina Koduah<sup>1,\*</sup>, Brian A Asare<sup>2</sup>, Edith Gavor<sup>2</sup>, Martha Gyansa-Lutterodt<sup>3</sup>, Edith Andrews Annan<sup>4</sup> and Francis W Ofei<sup>5</sup>



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#### **Policy formulation**

In such <u>public arenas</u>, technical discussions moved towards <u>negotiations</u> with emphasis on <u>practicability of the policies</u>



**Original research** 

BMJ Global Health

Powers, engagements and resultant influences over the design and implementation of medicine pricing policies in Ghana

Augustina Koduah,<sup>1</sup> Leonard Baatiema,<sup>2</sup> Irene A Kretchy <sup>(a)</sup>, <sup>1</sup> Irene Akua Agyepong,<sup>3,4</sup> Anthony Danso-Appiah,<sup>5</sup> Anna Cronin de Chavez,<sup>6</sup> Timothy Ensor <sup>(b)</sup>, <sup>7</sup> Tolib Mirzoev <sup>(b)</sup> <sup>6</sup>



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#### The Ministry of Health coordinated policy processes, utilising its <u>bureaucratic mandate</u> and exerted high influences over each policy.

**Policy formulation** 



#### **Policy formulation**

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Most stakeholders were <u>highly</u> <u>engaged</u> in policy processes. Whereas some led or coproduced the policies in the design stage and participated in policy implementation, others were consulted for their <u>inputs</u>, <u>views</u> and <u>opinions</u>.



#### **Policy formulation**

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Stakeholder powers reflected their <u>expertise, bureaucratic mandates</u> and through <u>participation</u> in national level consultation meetings, influences policy contents and implementation



Koduah *et al. Health Res Policy Sys* (2021) 19:16 https://doi.org/10.1186/s12961-021-00680-7

Health Research Policy and Systems

#### RESEARCH



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# Pharmacy premises licensing policy formulation: experience from Ghana

Augustina Koduah<sup>1\*</sup>, Reginald Sekyi-Brown<sup>1</sup>, Joseph Kodjo Nsiah Nyoagbe<sup>2</sup>, Daniel Amaning Danquah<sup>3</sup> and Irene Kretchy<sup>1</sup>



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The Ministry of Health drafted the Bill in July 2010 with the consensus of internal stakeholders. The Bill interrogated by the Parliament Select *Committee on Health (with legislative* power) during separate periods, and decisions made in Parliament to alter propositions of pharmacy premises regulations.



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Parliamentarians <u>framed</u> pharmacies as health facilities and reassigned their regulation from the Pharmacy Council to a new agency. The Pharmacy Council and the Pharmaceutical Society of Ghana could not participate in the decisionmaking processes in Parliament to oppose these alterations.



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#### **Policy formulation**

The laws' contents rested with parliamentarians as they made decisions in <u>venues</u> restricted to others. <u>Legislative procedure</u> limited participation, although nonlegislative actors had some level of influence on the initial content.



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### Take Home Messages

- To make policy, actors (governments, institutions, individuals, groups) need **power**
- They need *authority to act* (make policy decisions) and they need the *capacity to act* (carry out policy decisions)



### Take Home Messages

- Problem definition is an important way to exercise power
- Problem definition is a supreme instrument of power since there is no one fixed definition and as such policy issues are subject to the interpretative manoeuvres and discursive strategies of policy actors who influence the process.
- the **interaction domains/venues** through which policy actors take decisions and exercise their power is important





• Thank you

